

## Accelerating Migration of Ab Initio Data Pipelines to Spark Through End to End Automation

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### ABSTRACT

The migration of data pipelines from proprietary Ab Initio systems to Apache Spark has become a crucial step for organizations aiming to scale operations, leverage open-source capabilities, and reduce costs associated with legacy software. Despite these motivations, the transition poses significant technical challenges due to differences in execution models, dependencies, and data transformation structures. This paper presents a framework designed to automate the conversion of Ab Initio data pipelines into Apache Spark code, minimizing manual intervention and preserving data fidelity. The framework includes a pipeline analyzer, an automated code converter, and a validation tool to ensure functional equivalence. Case studies demonstrate the effectiveness of the approach, with reduced migration time and improved scalability.

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**Received:** November 06, 2023; **Accepted:** November 13, 2023; **Published:** November 27, 2023

### Introduction

As organizations increasingly adopt big data technologies, the need to migrate from proprietary systems like Ab Initio to open-source frameworks like Apache Spark has grown substantially [1]. Ab Initio provides robust ETL capabilities but often incurs high licensing costs and lacks the flexibility of Spark's distributed computing model [2]. Spark, an open-source unified analytics engine, has emerged as a preferred platform for scalable data processing due to its ability to handle both batch and streaming data on large clusters [3].

Migrating data pipelines, however, is complex and error-prone. Ab Initio's visual flow-based design and proprietary transformations create challenges when translating to Spark's code-driven, distributed model [4]. This paper proposes an automated framework to streamline migration, aiming to preserve functional equivalency, reduce labor, and mitigate the risk of errors.

### Contributions

- A structured framework for Ab Initio pipeline analysis and component classification.
- An automated code conversion engine that translates Ab Initio components into Spark transformations.
- A validation module to ensure data integrity and processing accuracy post-migration.

### Background and Related Work

#### Ab Initio to Spark Migration

Previous studies have highlighted the need for automated tools to assist in ETL migration, particularly when moving from proprietary systems like Ab Initio to open-source frameworks [5]. Existing approaches often rely on manual conversion or hybrid methods, which can be both time-intensive and error-prone.

### Automated ETL Migration Tools

Several tools and methodologies have been proposed for automated ETL migration. Solutions such as Informatica and Talend provide built-in migration capabilities, but they lack direct support for Ab Initio to Spark transformation. Research in automatic ETL generation and cross-platform pipeline compatibility has explored methods for addressing syntactic and semantic differences between ETL frameworks, though these solutions are typically limited to vendor-specific transformations [6,7].

### Data Pipeline Conversion Challenges

Prior work has identified major barriers in data pipeline migration, including transformation mismatches, data type incompatibility, and orchestration differences. Techniques to map components across platforms and ensure process fidelity are central to automation, especially when transformations are highly customized or parallelized.

### Migration Challenges and Requirements

#### Complex Ab Initio Graph Structures

Ab Initio pipelines often involve nested graphs, conditional logic, and custom scripts. These configurations introduce challenges when replicating workflows in Spark, where components like filter, join, and aggregate may require substantial reconfiguration to support a distributed environment [6]. Understanding and dissecting these graphs is essential for accurate migration.

#### Transformation and Data Type Mapping

The diversity of data types and transformations in Ab Initio often lack direct equivalents in Spark, leading to challenges in mapping transformations precisely. For instance, Ab Initio's Reformat component, which allows custom logic for record transformation, must often be replaced by Spark's data frame library and map or flatMap operations with user-defined functions (UDFs).

### Dependency Resolution and Orchestration

Ab Initio's orchestration capabilities allow for complex task scheduling, dependency management, and parallelism, which need to be recreated in Spark using tools like Apache Airflow or native Spark DAGs (Directed Acyclic Graphs). Proper orchestration is crucial for achieving the same operational outcomes in a distributed Spark environment.

### Performance and Scalability Considerations

Migrated pipelines must perform at comparable or better speeds, making it essential to address the distributed nature of Spark and optimize the workflows for large data processing. Optimizations

like data partitioning and caching play significant roles in preserving performance.

### Proposed Migration Automation Framework Architecture Overview

The proposed framework consists of four main components:

- Pipeline Analyzer for Ab Initio graph parsing and metadata generation.
- Code Converter to translate Ab Initio components into Spark transformations.
- Validation Module for data integrity and equivalence testing.
- User Interface for migration monitoring and error reporting.

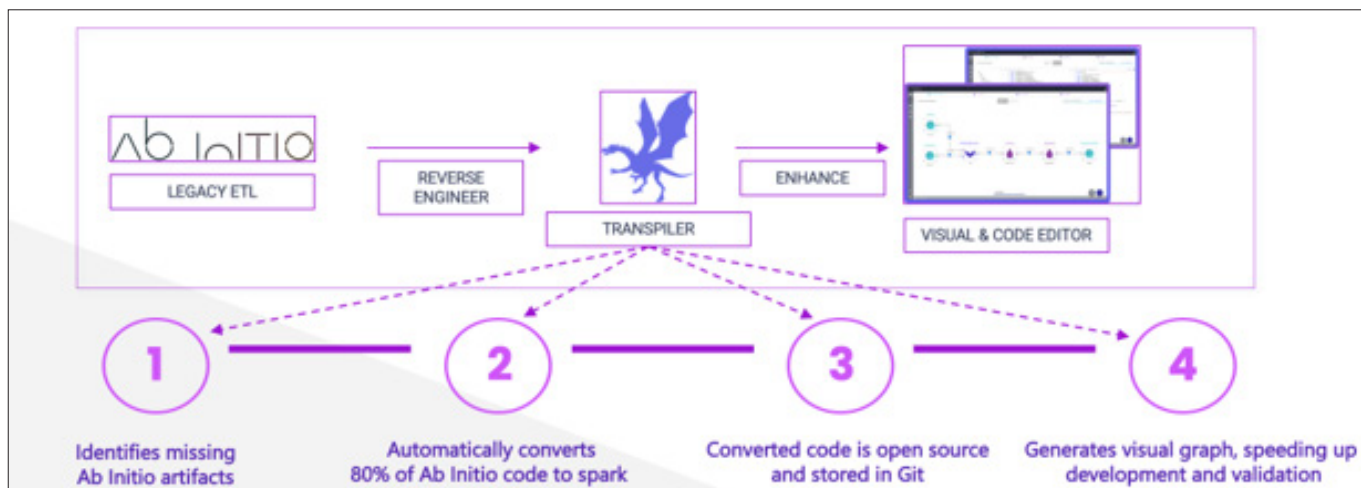


Figure 1: Architecture of Transpiler to Automatically Convert Ab Initio Pipelines to Spark

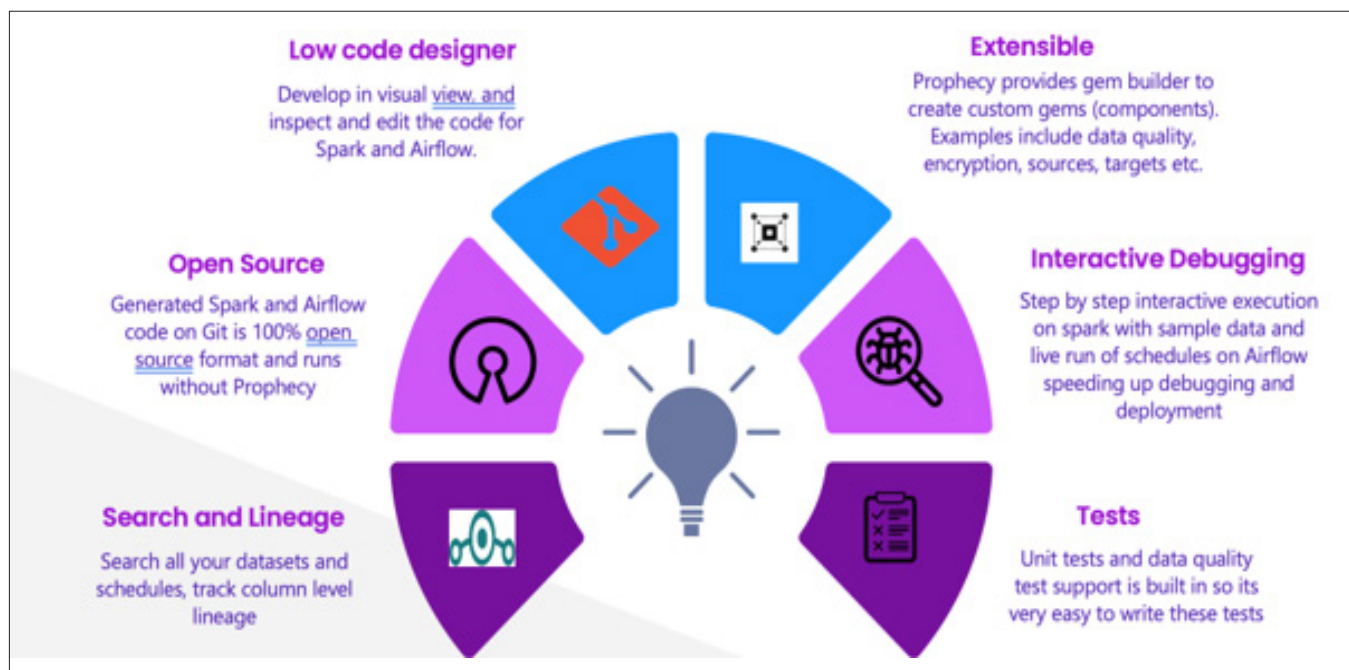


Figure 2: Key Transpiler Features

### Pipeline Analyzer

The Pipeline Analyzer parses Ab Initio graphs to identify data sources, sinks, transformations, and dependencies. It categorizes each component using a transformation mapping library and stores metadata to facilitate the conversion process. The analyzer supports complex graph analysis, including nested loops and conditional branches.

## Code Converter

The Code Converter translates individual Ab Initio components to their Spark equivalents:

- **Component Translation Rules:** Standard Ab Initio components like Join and Filter map directly to Spark transformations such as join and filter. For complex components like Reformat, the converter uses a template-based approach, allowing custom functions to be mapped to Spark Data frame functions and UDFs.
- **Custom Transformation Mapping:** Custom Ab Initio functions are handled through Spark's UDFs, and scripts are parsed to Spark-compatible code or handled as external functions.
- **Error Handling:** The Code Converter flags unsupported transformations and provides suggestions for manual intervention where necessary.

## Validation Module

To ensure data fidelity, the Validation Module compares outputs from the original Ab Initio pipeline with the Spark-translated pipeline, checking for row counts, data types, and value consistency. Benchmarking tools also compare execution time and resource utilization, ensuring performance standards are met.

## Case Study

**Background:** A fortune 20 healthcare firm had hundreds of complex Ab Initio data pipelines loading healthcare pharma data to Netezza data warehouse. Firm was spending more than \$5M per year in licensing cost, and this legacy infrastructure was limiting firms ability to utilize the features available in modern cloud data platforms. Data organization of the firm decided to modernize and replace Ab Initio pipelines with Spark data pipelines.

## Implementation

- Target state architecture was developed with spark as the data processing engine and databricks delta lake as the data platform.
- Automated migration framework described above was used to convert complex Ab Initio pipelines to Spark data pipelines.
- Data validation was performed, BI reporting and machine learning was enabled from Delta lake

## Results and Benefits

- Spark's distributed processing reduced execution time by 30% on average, especially in jobs with high I/O and data aggregation. Performance tests showed Spark pipelines achieved comparable or improved processing times for all data types, supported by optimal partitioning strategies and caching.
- Automated migration reduced manual effort by 80% while producing open source spark code with 90%.
- Overall timeline to migrate from Ab Initio to Spark was reduced from 3 years to 1 year.

## Limitations and Future Improvements

While effective, the framework could be enhanced to support advanced custom functions and improve compatibility with Ab Initio's parallelism features. Future work may explore deeper integration with orchestration tools like Apache Airflow to enhance scheduling and dependency management in Spark.

## Conclusion

This paper presented an automated framework for migrating data pipelines from Ab Initio to Apache Spark, addressing the

growing need for organizations to transition from proprietary ETL tools to scalable, open-source big data platforms [8]. The proposed framework aims to overcome the complexities associated with traditional, manual migration processes by introducing a structured, component-based approach. The framework comprises a pipeline analyzer, a code converter, and a validation module, each playing a crucial role in automating, standardizing, and validating the migration process.

## Key Contributions and Findings of this Work Include

- **Reduction in Manual Effort:** By automating the migration process, the framework significantly reduces manual intervention, lowering the labor and time costs typically associated with converting large and complex data pipelines from Ab Initio to Spark.
- **Accurate Component Mapping:** The framework's pipeline analyzer effectively dissects and categorizes Ab Initio graphs and transformations, enabling precise mapping to Spark operations. This ensures that essential pipeline logic, such as joins, aggregates, and custom transformations, is preserved and accurately reflected in the Spark environment.
- **Improved Data Fidelity and Validation:** The validation module ensures that data integrity is maintained throughout the migration, comparing outputs from both Ab Initio and Spark to validate row counts, transformation accuracy, and data type consistency. This rigorous validation process is crucial for mission-critical applications that rely on data quality.
- **Performance Optimization:** Spark's distributed model offers potential performance improvements for large-scale data processing, especially for high-throughput and compute-intensive jobs. The framework's benchmarking tools assess and optimize performance to achieve comparable or improved execution times in Spark [6].
- **Error Handling and Debugging:** The framework includes robust error handling and debugging tools to identify and resolve issues that may arise from unsupported Ab Initio transformations or other incompatibilities. This feature improves the reliability of the migration process and reduces the risk of critical errors in production.
- **Adaptability to Complex Pipelines:** The framework supports a wide range of Ab Initio transformations, including nested graphs, conditional logic, and parallel workflows, making it adaptable to the diverse and complex configurations commonly found in enterprise ETL pipelines.

## Future Directions

While the proposed framework has demonstrated its effectiveness in automating Ab Initio to Spark migrations, several areas for future improvement and research remain:

- **Enhanced Support for Custom Functions:** Expanding the code converter's capabilities to handle a broader array of custom Ab Initio functions and complex transformation logic would further reduce manual adjustments post-conversion.
- **Integration with Advanced Orchestration Tools:** Integration with orchestration frameworks like Apache Airflow or Databricks jobs could enhance dependency resolution, task scheduling, and monitoring capabilities, allowing for a more comprehensive solution for end-to-end pipeline orchestration.
- **Optimization for Real-Time and Streaming Data:** With the growing demand for real-time data processing, future work could focus on adapting the framework to support real-time and streaming data migration, leveraging Spark's structured streaming capabilities.

- **Expanding the Transformation Mapping Library:** Developing a more extensive library for Ab Initio-to-Spark transformation mappings, including support for edge cases and rarely used Ab Initio components, would make the framework more versatile and reduce manual intervention further.

In summary, this automated framework for Ab Initio to Spark migration not only addresses the technical and operational challenges of moving legacy pipelines to a modern, open-source platform but also sets a foundation for future improvements in data engineering automation. By streamlining the migration process, ensuring data fidelity, and optimizing performance, the framework enables organizations to leverage Spark's distributed processing capabilities more quickly and effectively. This work contributes to the ongoing evolution of data engineering, offering a scalable, cost-effective approach to modernizing legacy data infrastructures.

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