

## Optimizing Spin-Coat Speed for Fabrication of P3HT: PCBM Solar Cells

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### ABSTRACT

The effect of spin speed on the orientation of the conjugated polymer chains becomes a matter of concern while fabricating bulk heterojunction devices such as solar cells. The stability and performance strongly depend on the intertwining of the two molecules that form the bulk heterojunctions. In the case of P3HT: PCBM, it is the long chains of P3HT that encompasses the PCBM molecules. This work investigates the fabricating conditions of Poly(3-hexylthiophene) (P3HT) on the glass substrates in terms of spin coating speeds to obtain favourable geometry of P3HT. For this purpose, three different samples were prepared, keeping spin rate as 6850, 8150 and 9700 rpm, respectively. It was observed that at high spin rates, a large centrifugal force acts upon polymer chains, unfolding them into highly oriented structures. These P3HT chains are free from twists, kinks and are not intermingled. The results of the present work advocate fabricating P3HT: PCBM films at spin speeds less than 6850 rpm.

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### Introduction

Photovoltaics, a branch of Physical Sciences, is dedicated towards the development of solar cell structures that can efficiently capture sunlight and convert it into electricity. In this field, Silicon has been extensively used in the commercialization of stable solar cells. However, the fabrication of such devices pollutes the environment and lacks flexibility. This means a serious limitation on surfaces that solar cells can be attached and in their transportation. Thus, there is a requirement for alternatives to Silicon that are not only efficient but are flexible and do not pollute the environment as well.

The possibility of developing flexible electronic devices and displays using conjugated polymers has drawn the attention of researches working worldwide [1-11]. This is due to the fact that conjugated polymers possess a wonderful combination of semiconductor-like optical and electrical properties along with mechanical strength similar to that of plastic [12-16]. The most important advantage of polymers over inorganic semiconductors is that they can be doped either as p- or n-type using simple oxidation or reduction reactions, respectively [17,18]. Also, purification of polymers is not required to the same level as it is required for inorganic semiconductors. Their ability to dissolve in a variety of organic solvents makes it possible to fabricate electronic devices using simple solution processing techniques such as spin coating, doctor blading and inkjet printing [19-23]. An added advantage

of this is that all these processes are also environment friendly.

Poly(3-hexylthiophene), or P3HT, as it is more popularly referred to, is a well-known conjugated polymer used as a photo-active layer in solar cells. In the past two decade or so, P3HT has become a very popular choice for fabrication of bulk heterojunction solar-cells with Phenyl-C61-butyric acid methyl ester (PCBM) acceptor molecules [24-29]. P3HT is known to have an absorption peak at 510 nm, that is suitable for efficient capture of light from the sun to be converted into electrical energy. In addition to this, it also has a high absorption coefficient in the visible wavelength region [30-35]. Hence, a large number of excitons are generated in the P3HT polymer chains when illuminated by sunlight.

However, these excitons have tendency to decay rapidly and the polymer films require acceptor molecules having high electron affinity. These molecules should be able to dissociate the photogenerated exciton into individual holes and electrons before their radiative decay. The so dissociated electrons are then dragged by the acceptor molecules and transported to cathode. The holes remaining in polymer, hops through polymer chains and move towards anode. For this process to occur efficiently the acceptor molecules should be homogeneously distributed with in the polymer film.

The P3HT polymers have long chain molecules which are highly flexible and form random coils [36,37]. This coiling is anticipated to hold or “imprison” PCBM molecules to establish

a perfect bulk heterojunction. Yet it is observed that the device efficiency deteriorates with time [38-40]. Which would mean either PCBM molecules somehow escape from the P3HT coils or P3HT molecules coiling did not occur, suggesting growth parameters were not optimised. While there are many publications on P3HT bulk heterojunction solar cells, a few focus on effect of fabrication conditions on the quality of the film as in ability to control the chain bending and twisting, etc. In the present work, we have deposited P3HT films on glass substrates using spin coating and studied the effect of spin speed on the orientation of the polymer chains. The novelty of the conducted work is that it shows a relationship between the ordering/ alignment of polymer chains and spin rate. This work can be used to optimize the spin rate while fabricating polymer based photovoltaic structures for the development of stable solar cells.

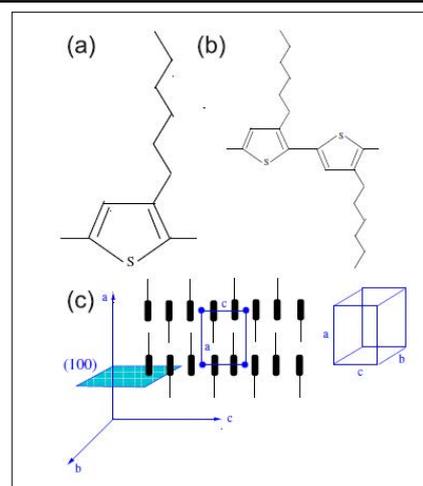
### Experimental Details

The P3HT polymer used in this study was procured from Sigma-Aldrich Chemicals Private Limited with an AR grade of > 99.5% purity. It was dispersed in chlorobenzene (10 mg/ml) and mixed thoroughly with the help of ultrasonicator for 2-hours until a homogeneous solution was obtained. This solution was then used for deposition of the films on clean glass substrates by spin coating method. To study the effect of spin-rate, three different films were coated by varying the rpm of the spinner. For this purpose, the DC motor's excitation voltage is varied and spin speed was measured using a tachometer. To avoid ambiguity, films have been named as per the rpm at which the samples were grown.

The crystallinity of the films was characterised using Rigaku Ultima IV X-Ray diffractometer, while their optical behavior such as absorbance and Photoluminescence (PL) were studied using Shimadzu's UV-visible spectrophotometer (Model UV-2540) and Shimadzu's Spectrofluorophotometer Model RF 5301PC, respectively. The surface roughness and thickness of the grown films were measured by moving the stylus of Veeco Dektak Surface Profiler (150) across the film surface and across the film edge, respectively.

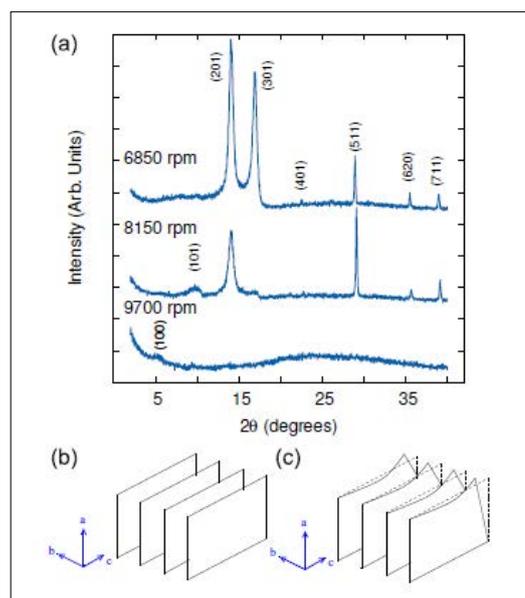
### Results and Discussion

Figure 1(a) shows the chemical structure of P3HT molecule [41]. This structure repeats itself and forms long linear chains. P3HT is reported to exist in chains consisting of 100s to 1000s of such units repeating themselves. Figure 1(b) shows the basis of P3HT, when the polymers line-up to form a crystal structure (figure 1c). The distance between two lines of the thiophene structure gives the lattice parameter 'a' with the 'bc' plane being the reflecting plane for Bragg's diffraction. Inter-planar distance (d) works out to be equal to the lattice parameter 'a', resulting in the  $2\theta \approx 5.2^\circ$  diffraction maxima in XRD. The 'ac' plane shown in figure 1(c) repeats itself along the 'b'-direction, which is called the stacking direction. Such crystal structure of P3HT is referred too as 'edge-arrangement' [42]



**Figure 1:** A single molecule of P3HT with a Thiophene Structure is shown in (a). Two such Molecules form the Basis Point for the Lattice (b). The Layer of Thiophene Molecules form the (100) Reflection Plane for X-rays. The  $\pi - \pi$  Stacking is along the 'b'-Direction (c), which gives the Nano-Wire Crystal Structure.

Figure 2(a) exhibits the XRD pattern of P3HT films fabricated by spin coating at three different rpms as indicated in the figure. The films coated at the highest rotation speed showed a small minor peak at  $2\theta \approx 5.2^\circ$ , typical of the (100) popularly seen in literature. The samples grown at 6850 and 8150 rpm show several intense peaks. These peaks were indexed and the samples were found to have a tetragonal structure with lattice parameters,  $a \approx 16.99\text{\AA}$  and  $b=c \approx 10.5\text{\AA}$ . The lattice parameters are very similar to that reported by Poelking et al, except that they had claimed the structure to be monoclinic with  $\alpha \approx 86^\circ$ . This suggests that there might be shear stress acting on the lattice of our samples [43]. This needs further investigation and will be discussed elsewhere.



**Figure 2:** (a) Compares the Diffractograms of P3HT Films Grown by Spin Coating at Different rpms. (b) and (c) Compare How Centrifugal Force Assists in Edge-Stacking of the P3HT Layers. Figure Tries to Put Forward the Idea that Centrifugal Force Tends to Remove Any Twist or Kinks that might Appear in the Layers during Deposition.

In the sample fabricated at 9700 rpm, the intensity of the (100) peak is expectedly small, considering the high rpm would have resulted in a very thin coat of the film (Thinnest as measured and listed in Table 1). Along with this, considering that only a (100) diffraction peak was obtained, one can conclude that P3HT molecules were arranged in such a manner that the 'bc' lattice planes in this sample are co-planar with the substrate. This in turn implies that the lattice in this sample is highly oriented. The polymer chains would have been thrown in this orientation due to the high centrifugal forces acting on them because of high spinning speed during film formation, thus resulting in the 'bc' lattice planes to arrange themselves parallel to the substrate. Figure 2(b) shows  $\pi - \pi$  stacking and formation of the nanowire along the 'c' direction. Since the film thickness is low, the number of reflecting-parallel 'bc' planes stacked would also be low, resulting in a minor diffraction maxima. In fact, in the Jimison et al study, where P3HT films were fabricated at 800 rpm, they observed that very thin films ( $d \leq 40$  nm) were essentially disordered [44]. Thus, confirming our claim that the resulting centrifugal force at high rpm results in straightening of P3HT chains.

At slower rpms, the centrifugal force acting on the polymer chain can be appreciated as being lower, and hence the tension acting on the chain, stretching and pulling it into an oriented arrangement, would be lacking. Hence, one would expect that the 'ac' planes in the sample fabricated at 8150 rpm would develop a twist as shown in figure 2(c). This would expose more planes, other than the (100) plane, to the incident X-Rays, thus explaining more diffraction peaks. At 6850 rpm, the twists and hence deviation from oriented growth would be greater, resulting in more reflecting planes available for diffraction. This would explain the polycrystalline nature suggested by the XRD pattern. Normally in inorganic matter, the Full-Width at Half-Maxima (FWHM) of the XRD peaks would be a comment on the "grain size" or "ordering length" in the crystal structure. On the contrary, here in this study, the appearance of more diffraction peaks at lower rpms indicates a decrease in ordering (possibly more peaks are appearing with more twists or in other words, decreasing distance between two twists). Also, film properties of P3HT are usually explained by the bi-layer model [44]. As per this model, the P3HT film can be viewed as a bulk film with an interfacial layer, and ordering is easily achieved in the interfacial layer. As can be seen, lower rpm means a thicker film and hence a larger proportion of bulk region, making it more difficult to obtain oriented polymer chains.

Figure 3 compares the UV-visible spectrographs of all the samples grown at different rpms. The maximum intensities of the samples decreases with increasing rpm since the film thickness decreases. However, the main absorption peaks (between 450 and 650 nm) and their positions remain the same without exception. The inset of figure 3 shows the deconvolution of the broad peak of sample grown at 9700 rpm. The broad peak is a result of three overlapping absorption peaks lying at 480, 541 and the characteristic shoulder at 601 nm. The 480 nm peak is associated with the intra-chain  $\pi - \pi^*$  transition of the thiophene rings (along 'a' and/or 'c' direction) and the higher wavelength peaks, 541 and 601 nm are associated with the inter-chain (between stacks along 'b' direction) interactions [45].

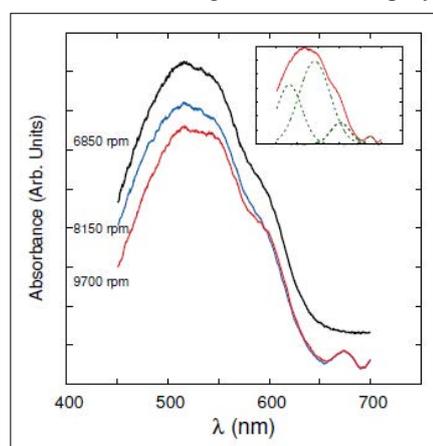
**Table 1: The Variation in Film Thickness and Roughness w.r.t. Spin Speed**

Spin Speed (rpm)	d (nm)	roughness (nm)
6850	120	20
8150	85	10
9700	40	10

However, in context with this study, it is the 680 nm peak that is of interest. The peak at 680 nm is not commonly observed. Rahimi et. al. have reported observing an absorbance peak around 680-700 nm in their study. This peak was intense in single crystal samples of size 59 nm and insignificant in 65 nm thin films [46]. The existence of this peak in our samples fabricated at 8150 and 9700 rpm corroborate our conclusion of ordering in 8150 and 9700 rpm samples from XRD analysis.

Clearly, all three samples have a certain amount of ordering and  $\pi - \pi$  stacking (along the 'b' direction, as understood from our XRD results); however, as is evident from the occurrence of the 680 nm peak, samples grown at high rpms possess long range ordering. The centrifugal force ensures less twisting of the chains. More about this can be understood from the PL results of figure 4. Considering P3HT films show maximum absorption around 550 nm, the PL spectrographs were recorded using an excitation wavelength of 550 nm [47]. The sample fabricated at low speed exhibits typical PL spectrum of P3HT reported in literature with two peaks at 650 and 700 nm [48]. However, those grown at high speed show low yield with comparable area of the two peaks and a new peak at 743 nm. The low yield can be understood as a result of films having a lower thickness, also shown by decreased absorption in figure 4.

The more intense peak at  $\approx 655$  nm belongs to the 0-0 transition, while the less intense peak at  $\approx 700$  nm correspond to the 0-1 transitions. The origin of 0-0 peak is explained by transition of  $\pi$ -electrons between energy levels arising due to orbital overlap between adjacent thiophene rings of P3HT, i.e. inter-chain transitions [49-51]. On the other side, the 0-1 peak corresponds to the intra-chain transitions occurring between the energy levels of the same polymer chain. The high intensity of 0-0 emission as compared to that of 0-1 peak (in samples 1 and 2) is an indication of the overlap, existing due to folding and random arrangement of P3HT chains, with large disorder in the arrangement of P3HT polymer chains.

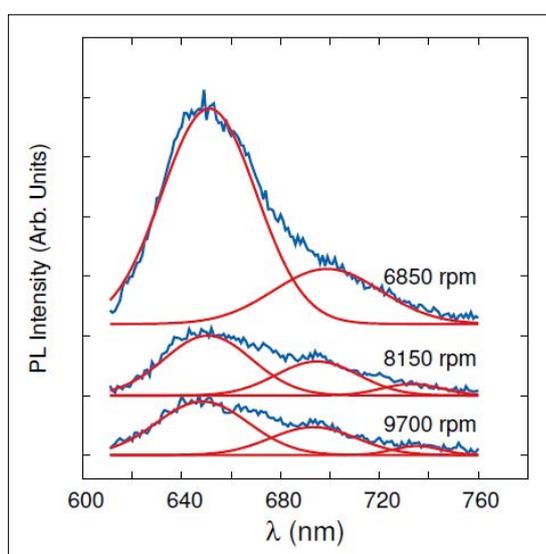


**Figure 3:** The Figure Compares the UV-Visible Absorption Spectra of Three Samples Grown at Different Spin Speeds. Spin Speeds are Indicated in the Figure. Inset Shows that Peaks are the Cumulative Effect of Three Absorption Peaks Appearing Very Close to Each Other.

Assuming the Huang-Rhys factor to be unity, the disorder (or ordering) in H-aggregate polymer chains has been quantified by the relation

$$\frac{A_{0-0}}{A_{0-1}} \propto \left( \frac{1 - \frac{0.24W}{E_p}}{1 + \frac{0.073W}{E_p}} \right)^2 \quad (1)$$

where area ratio of the vibronic 0-0 transition ( $A_{0-0}$ ) to the 0-1 transition ( $A_{0-1}$ ) are compared [52]. We have assumed our samples to be H-aggregates since the crystal structure of our samples are tetragonal [53]. 'W' in eqn 1 is related to the exciton bandwidth and  $E_p$  is the energy of the intra-molecular vibrational mode ( $A_{0-0}$ ) that is coupled to the electronic transition ( $A_{0-1}$ ). The standard value of  $E_p$  for P3HT is given as 180 meV (location of our first to peaks in Figure 4 gives  $E_p \approx 170$  meV) [54]. Table 2 lists the calculated values of 'W' for our samples depicted in Figure 2.



**Figure 4:** The PL Spectra of the Three Samples are Typically those of P3HT Reported in the Literature. However, a Third Peak at 743 nm is Visible in Samples made at 8150 and 9700 rpm.

**Table 2:** Table Lists the Area under the Curve for the Three Samples and use it to Calculate 'W' (also listed).

Sample (rpm)	$A_{0-0}$	$A_{0-1}$	W
6850	834	262	14.31
8150	210	102	5.71
9700	225	119	4.84

The value of 'W' is large for the film grown at low speed, while it is quite low for the films grown at high speed. It is well established that the intra-molecular ordering is inversely proportional to the magnitude of 'W', i.e.

$$\text{intra - molecular ordering} \propto \frac{1}{W} \quad (2)$$

Hence, it would suggest that the polymer chains in samples grown at high speed are most likely straight, with no folding or bending. Also, decreasing 'W' with increasing spin rate would possibly mean an increase in P3HT polymer's intra-chain order. Thus, we believe P3HT's ability to coil and "imprison" PCBM molecule would decrease with increasing spin rate. This in turn would result in unstable heterojunctions which would lead to rapid ageing of

these solar cells. Further, the blue shift of  $\approx 4$  nm ( $\approx 0.014$  eV) was seen in the 0-1 peak of films grown at higher speed. The blue shift is associated with reduced intermolecular interaction, which in turn would suggest that at high speed, the distance between P3HT molecules is increasing [55]. Finally, a new peak at 734 nm (1.69 eV) is seen that corresponds to the rarely reported 0-2 transition [56]. This is the vibrational mode associated with the second neighboring phonon w.r.t. the reference oscillator/phonon, thus further proving an increased ordering of P3HT chains in films grown at high spin rates [53]. Thus, all our analysis (XRD, UV-visible and PL) all point towards the same conclusion: films grown at high spin rate encourage the straightening and ordering of P3HT polymers.

**P3HT:** PCBM bulk heterojunction solar cells hold promise as a commercial device for the future. However, as recent works have shown, their junctions deteriorate very rapidly due to PCBM grains moving away for P3HT chains towards the film surface [57]. It is well known that P3HT molecules exist in coiled state. One would expect a coiled P3HT molecule would retain a PCBM cluster better and prevent disassociation of the two, thereby preventing rapid deterioration of the junction. Hence, from the above results it is evident that P3HT: PCBM films should be fabricated at very low spin speeds. To this extent, this group has started work in this direction. We have showed solar cells of P3HT: PCBM fabricated at a high speed of 8150 rpm deteriorate within hours of fabrication [58]. Work at lower spin-coating speeds is underway and will be reported elsewhere.

### Conclusion

In the present work, three samples of P3HT films on glass substrates at different spin rates have been prepared. The centrifugal force seems to be the driving force behind the ordering of the polymer chains, which results in unfolding of the chains free from twists and kinks leading to formation of crystalline P3HT samples. The formation of crystalline structure has been confirmed by the occurrence of an additional tell-tale peak at 680-700 nm in the absorption spectrum along with a peak in the PL spectrum. Finding of this investigation argue that for obtaining a stable bulk-heterojunction sample of P3HT: PCBM solar cells with effecting coiling of P3HT polymer to hold PCBM molecules, fabrication should be done at very low spin speeds.

**Data Availability:** Data will be made available on request.

**Statement on Conflict of Interest:** The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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